

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: March 29, 2002

By: Sherri L. Ballbach
Sherri L. Ballbach

#5 / Reg for
refund
06-04-02

In Re Application of:	
Anthony G. Martin, et al.	Examiner: Not yet known
Serial No: 10/057,413	Group Art Unit: 2152
Filed: January 25, 2002	
For: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR PRESENTING INFORMATION TO A USER UTILIZING HISTORICAL INFORMATION ABOUT THE USER	

Commissioner for Patents
Washington, D.C. 20231
Attention: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(37 C.F.R. § 1.28(a))

Dear Examiner:

The status of the inventor entity corresponding to the above-mentioned application was inadvertently marked as large entity. The inventor entity is in fact a small entity as asserted in the enclosed statement.

Accordingly, refund of the amount (\$538.00) that was paid in excess of the fee required for a small entity filing is requested.

The Commissioner is hereby authorized to refund the excess payment to Deposit Account 05-0150.

Date: March 29, 2002

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

By: V. Bhakar
Vidya R. Bhakar, Esq.
Attorney for Applicants
Registration No. 42,323

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

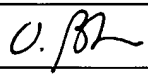
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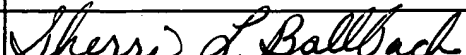
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/057,413	
	Filing Date	January 25, 2002	
	First Named Inventor	Anthony G. Martin	
	Group Art Unit	2152	
	Examiner Name	Not yet known	
Total Number of Pages in This Submission	3	Attorney Docket Number	50624.00022

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard Statement of Assertion of Status as Small Entity
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Vidya R. Bhakar, Reg. No. 42,323
Signature	
Date	March 29, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 29, 2002			
Typed or printed name	Sherri L. Ballbach		
Signature		Date	3/29/02

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STATEMENT OF ASSERTION OF STATUS AS SMALL ENTITY

(37 C.F.R. § 1.27(a)(2))

Dear Examiner:

With respect to the above-mentioned application, I hereby state that as an attorney and agent registered with the Patent Office, and the attorney of record filing the above-mentioned application, I am a person authorized to sign this assertion pursuant to 37 C.F.R. § 1.27 (c)(2). And, I state that the applicant identified below:

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qualifies as a small business concern, as defined in 13 C.F.R. § 121 (37 C.F.R. § 1.27(a)(2)) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code.

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